FORM PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

OIPE DEC 26 2001 PATENT & TRADEMARK OFFICE TC 2100

Docket Number	Application Number
STL920000093US1	09/918,181
Applicant	
Alan Tsui-I Yaung	
Filing Date	**Group Art Unit**
July 30, 2001	2152

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROP.
JN	5 3 0 1 3 2 0	04/05/94	McAtee et al.			
JN	5 5 3 5 3 2 2	07/09/96	Hecht			
JN	5 5 7 2 6 7 3	11/05/96	Shurts			
JN	5 5 9 6 7 4 4	01/21/97	Dao et al.			
JN	5 6 3 4 0 5 3	05/27/97	Noble et al.			
JN	5 6 3 4 1 2 7	05/27/97	Cloud et al.			
JN	5 7 2 1 9 1 2	02/24/98	Stepczyk et al.			
JN	5 7 2 1 9 1 3	02/24/98	Ackroff et al.			
JN	5 7 3 4 8 3 7	03/31/98	Flores et al.			
JN	5 7 4 5 6 8 3	04/28/98	Lee et al.			
JN	5 7 5 2 0 2 7	05/12/98	Familiar			
JN	5 7 7 4 6 6 1	06/30/98	Chatterjee et al.			
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JN	6 1 1 5 6 4 6	09/05/00	Fiszman et al.			

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES	Translation NO
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INFORMATION DISCLOSURE CITATION IN AN APPLICATION

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OIPE JC109
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JN		8	2	6	7	5		13 Aug 99	EP			FULL	
JN		9	9	6	3	4	6	9	9 Dec 99	PCT		FULL	

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

JN ✓	U.S. Patent Application Serial No.# 09/894,074, filed on June 28, 2001, entitled, "Method, System, and Program for Generating a Workflow", invented by WF Miller and AT Yaung.
JN	U.S. Patent Application Serial No.# 09/894,413, filed on June 28, 2001, entitled, "Method, System, and Program for Using Objects in Data Stores During Execution of a Workflow", invented by JJ Lin; WF Miller; and AT Yaung.
JN	U.S. Patent Application Serial No.# 09/894,076, filed on June 28, 2001, entitled, "Method, System, and Program for Executing a Workflow", invented by AT Yaung.
JN ✓	Galtzur, Z. et al. "Managing Workflow Using Database Techniques", IBM Technical Disclosure Bulletin, Vol. 39, No. 08, August 1996, pp. 199-201.
JN ✓	Yong, Y.M. "Template-Driven Document-Exchange Specification", IBM Technical Disclosure Bulletin, Vol. 39, No. 11, November 1996, pp. 173-178.
JN ✓	Duscher, R. et al. "Audit Trail Support For Program Activity Implementations of Workflow Management Systems", 1994, pp. 1-4
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JN ✓	IBM Corp. "Chapter 4. Introducing Enterprise Information Portal Workflow" from Managing Enterprise Information Portal, IBM Enterprise Information Portal for Multiplatforms, Version 7.1, #SC27-0875-00, Product Numbers 5697-G29 and 5697-G31, August 2000, pp. iii-iv and pp. 39-45.
JN ✓	IBM, Corp. "Concepts and Architecture" IBM MQSeries Workflow, Version 3.3, #GH12-6285-03, Product Number 5697-FM3, March 2001, pp. iii-58.
JN ✓	IBM, Corp. "User's Guide for the Work Management Builder" IBM ImagePlus Workfolder Application Facility for AS/400, Version 4 Release 1, #SC34-4587-00, Program Number 5733-A18, September 1997, pp. iii-119.
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JN ✓	Johansson, SE et al. "Expert Workflow, Building Knowledge-Based Workflow Systems with Object Technology", 1997, pp. 45-49.
JN ✓	Leymann, F. et al. "Workflow-based Applications" [online], Vol. 36, No. 1 - Application Development, pp. 1-22, plus 9 pages of linked Figures (drawings), and 2 pages of "Cited References and Notes". [Retrieved on 17 May 01]. Retrieved from the Internet at <URL: http://www.research.ibm.com/journal/sj/361/leymann.html>
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EXAMINER	**DATE CONSIDERED** 10/8/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.